## PATENT COOPERATION TREATY

## **PCT**

INTERNATIONAL APPLICATION STATUS FORM (IASF)

## Date of issue of this IASF:

(the information contained in this IASF reflects the status of the international application as of this date)

09 February 2006 (09.02.2006)

## From the INTERNATIONAL BUREAU

To

UNITED STATES PATENT AND TRADEMARK OFFICE Commissioner for Patents, P.O.Box 1450 Alexandria VA 22313 -1450 ETATS-UNIS D'AMERIQUE

I - INTERN	IATIONAL APPLICATION	
I-1	International application number:	PCT/US2004/023762
I-2	International filing date:	23 July 2004 (23.07.2004)
I-3	Earliest priority date:	23 July 2003 (23.07.2003)
I-4	Title of the invention:	ANAPLASTIC LYMPHOMA KINASE MODULATORS AND METHODS OF USE
1-5	International Patent Classification:	<sup>7</sup> A61K 31/437, C07D 471/04, A61P 29/00 35/00
I-6	Language of filing:	English
I-7	The State for which the Office acts as a designated Office has been designated in the international application:	Yes
I-7-1	Indication of the State(s) designated in the international application in respect of which the Office acts as a designated Office (only where the designated Office is a regional Office):	Not applicable
I-7-2	The international application has been considered withdrawn in a declaration made by the receiving Office on (date):	Not applicable
I-7-3	The international application or the designation of the State for which the Office acts as a designated Office has been withdrawn by the applicant (date on which withdrawal became effective):	Not applicable
I-7-4	Kind of protection or treatment:	Patent
I-7-4-1	Identification of parent application or parent grant:	Not applicable
I-8	Date of receipt of record copy by the International Bureau:	13 September 2004 (13.09.2004)
I-9	Applicant(s) and/or inventor(s) for the State(s) for which the Office acts as a designated Office	
I-9-1	Applicant and/or inventor	
I-9-1-1	Data currently on record	
I-9-1-1-1	Applicant's and/or inventor's name:	ANAND, Neel Kumar
I-9-1-1-2	Address:	977 El Camino Real, #303 Burlingame, California 94010 United States of America
I-9-1-1-3	State of nationality:	GB
I-9-1-1-4	State of residence:	US

I-9-1-1-5	This person is:	Applicant and inventor
I-9-1-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92bis):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-1-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9-2	Applicant and/or inventor	
I-9-2-1	Data currently on record	
I-9-2-1-1	Applicant's and/or inventor's name:	BLAZEY, Charles M.
I-9-2-1-2	Address:	201 Cornwall Street, #3 San Francisco, California 94118 United States of America
I-9-2-1-3	State of nationality:	US
I-9-2-1-4	State of residence:	US
I-9-2-1-5	This person is:	Applicant and inventor
I-9-2-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92bis):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-2-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
1-9-3	Applicant and/or inventor	
I-9-3-1	Data currently on record	
I-9-3-1-1	Applicant's and/or inventor's name:	BOWLES, Owen Joseph
I-9-3-1-2	Address:	1105 Paloma Avenue, #4 Burlingame, California 94010 United States of America
I-9-3-1-3	State of nationality:	CA
I-9-3-1-4	State of residence:	US
I-9-3-1-5	This person is:	Applicant and inventor
I-9-3-2	Data previously on record (in case of achange recorded by the International Bureau under Rule 92bis):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-3-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
1-9-4	Applicant and/or inventor	
I-9-4-1	Data currently on record	
I-9-4-1-1	Applicant's and/or inventor's name:	BUSSENIUS, Joerg
I-9-4-1-2	Address:	1042 Helm Drive Foster City, California 94404 United States of America
I-9-4-1-3	State of nationality:	DE
I-9-4-1-4	State of residence:	US
I-9-4-1-5	This person is:	Applicant and inventor
I-9-4-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92bis):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.

I-9-4-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9 <b>-</b> 5	Applicant and/or inventor	
l-9-5-1	Data currently on record	
I-9-5-1-1	Applicant's and/or inventor's name:	COSTANZO, Simona
I-9-5 <b>-</b> 1-2	Address:	51 Jennings Lane Atherton, California 94027 United States of America
I-9-5-1-3	State of nationality:	ІТ
I-9-5-1-4	State of residence:	US
I-9-5-1-5	This person is:	Applicant and inventor
l-9-5-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92 <i>bis</i> ):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-5-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
1-9-6	Applicant and/or inventor	
I-9-6-1	Data currently on record	
I-9 <b>-</b> 6-1-1	Applicant's and/or inventor's name:	CURTIS, Jeffry Kimo
l-9-6-1-2	Address:	310 Redwood Road San Anselmo, California 94960 United States of America
I-9-6-1-3	State of nationality:	US
I-9-6-1-4	State of residence:	us
I-9-6-1 <b>-</b> 5	This person is:	Applicant and inventor
I-9-6-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92bis):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-6-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9-7	Applicant and/or inventor	
I-9-7-1	Data currently on record	
I-9-7-1-1	Applicant's and/or inventor's name:	DUBENKO, Larisa
1-9-7-1-2	Address:	3326 Balboa Street San Francisco, California 94121 United States of America
I-9-7-1-3	State of nationality:	us
1-9-7-1-4	State of residence:	US
1-9-7-1-5	This person is:	Applicant and inventor
I-9-7-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92 <i>bis</i> ):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-7-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable

I-9-8	Applicant and/or inventor	
I-9-8-1	Data currently on record	
I-9-8-1-1	Applicant's and/or inventor's name:	KENNEDY, Abigail R.
I-9-8-1-2	Address:	325 W. Lowell Avenue Tracy, California 95376 United States of America
I-9-8-1-3	State of nationality:	US
I-9-8-1-4	State of residence:	US
I-9-8-1-5	This person is:	Applicant and inventor
I-9-8-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92 <i>bis</i> ):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-8-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9-9	Applicant and/or inventor	
I-9-9-1	Data currently on record	
I-9-9-1-1	Applicant's and/or inventor's name:	KHOURY, Richard G.
I-9-9-1-2	Address:	225 Poseidon Lane Redwood City, California 94065 United States of America
I-9-9-1-3	State of nationality:	US
I-9-9-1-4	State of residence:	US
I-9-9-1-5	This person is:	Applicant and inventor
I-9-9-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92 <i>bis</i> ):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
1-9-9-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9-10	Applicant and/or inventor	
I-9-10-1	Data currently on record	
I-9-10-1-1	Applicant's and/or inventor's name:	KIM, Angie I.
I-9-10-1-2	Address:	1000 Foster City Blvd., #4305 Foster City, California 94404 United States of America
I-9-10-1-3	State of nationality:	US
I-9-10-1-4	State of residence:	US
I-9-10-1-5	This person is:	Applicant and inventor
I-9-10-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92 <i>bis</i> ):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-10-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9-11	Applicant and/or inventor	
I-9-11-1	Data currently on record	
I-9-11-1-1	Applicant's and/or inventor's name:	MANALO, Jean-Claire L.

I-9-11-1-2	Address:	11 Wakefield Avenue Daly City, California 94015 United States of America
I-9-11-1-3	State of nationality:	US
I-9-11-1-4	State of residence:	US
I-9-11-1-5	This person is:	Applicant and inventor
I-9-11-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92 <i>bis</i> ):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-11-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9-12	Applicant and/or inventor	
I-9-12-1	Data currently on record	
I-9-12-1-1	Applicant's and/or inventor's name:	PETO, Csaba J.
I-9-12-1-2	Address:	965 Shorepoint Court, #305 Alameda, CA 94501 United States of America
I-9-12-1-3	State of nationality:	ни
I-9-12-1-4	State of residence:	US
I-9 <b>-</b> 12-1-5	This person is:	Applicant and inventor
I-9-12-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92 <i>bis</i> ):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-12-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9-13	Applicant and/or inventor	
I-9-13-1	Data currently on record	
I-9-13-1-1	Applicant's and/or inventor's name:	RICE, Kenneth D.
I-9-13-1-2	Address:	802 Autumn Lane Mill Valley, California 94941 United States of America
I-9 <b>-</b> 13-1-3	State of nationality:	US
I-9-13-1-4	State of residence:	US
I-9-13-1-5	This person is:	Applicant and inventor
I-9-13-2	Data previously on record (in case of a change recorded by the International Bureau under Rule 92bis):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
I-9-13-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
I-9-14	Applicant and/or inventor	No.
I-9-14-1	Data currently on record	
I-9-14-1-1	Applicant's and/or inventor's name:	TSANG, Tsze H.
I-9-14-1-2	Address:	8367 Kent Drive El Cerrito, CA 94530 United States of America

This person is:	·
	Applicant and inventor
Data previously on record (in case of a change recorded by the International Bureau under Rule 92 <i>bis</i> ):	No data previously on record available for inclusion in this IASF; any such data is available from the IB.
Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	Not applicable
The international application contains sequence listings and/or tables filed under Section 801(a) of the Administrative Instructions:	No
The following declaration(s) referred to in Rule 4.17 made fo Office acts as a designated Office was (were) contained in the by the International Bureau before the expiration of the time	ne international application as filed or received.
Declaration(s) as to the identity of the inventor (Rules 4.17 (i) and 51 bis.1(a)(i)):	Not applicable
Declaration(s) as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)):	Not applicable
Combined declaration(s) as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i)) and the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)):	Not applicable
Declaration(s) as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)):	Not applicable
Declaration(s) of inventorship (only for the purposes of the designation of the United States of America) (Rules 4.17 (iv) and 51 <i>bis</i> .1(a)(iv)):	Not applicable
Declaration(s) as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a) (v)):	Not applicable
ITY CLAIM(S)	
Number of earlier application:	60/489,658
Filing date of earlier application:	23 July 2003 (23.07.2003)
Country in which, or regional Office or receiving Office with which earlier application was filed (where the earlier application is an ARIPO application, indication of the country party to the Paris Convention or Member of the World Trade Organization for which that earlier application was filed):	US
Priority document received at the International Bureau on:	03 October 2005 (03.10.2005)
Priority document submitted or transmitted in compliance with Rule 17.1(a) or (b) (only where priority document was received at the International Bureau):	Yes
Applicant has requested the receiving Office to prepare and transmit the priority document in compliance with Rule 17.1(b) (only where no priority document was received at the International Bureau):	Not applicable
Priority claim withdrawn by a notice from the applicant (date on which withdrawal became effective; if the notice of withdrawal was received by the International Bureau after completion of technical preparations for international publication, such withdrawal will not have been reflected in the pamphlet) (Rule 90bis.3):	Not applicable
	by the International Bureau under Rule 92 <i>bis</i> ):  Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):  The international application contains sequence listings and/or tables filed under Section 801(a) of the Administrative Instructions:  The following declaration(s) referred to in Rule 4.17 made for Office acts as a designated Office was (were) contained in the ythe International Bureau before the expiration of the time Declaration(s) as to the identity of the inventor (Rules 4.17 (i) and 51 <i>bis</i> .1(a)(ii)):  Declaration(s) as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51 <i>bis</i> .1(a)(iii)):  Combined declaration(s) as to the identity of the inventor (Rules 4.17(i) and 51 <i>bis</i> .1(a)(ii)) and the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application (Rules 4.17(iii) and 51 <i>bis</i> .1(a)(iii)):  Declaration(s) as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application (Rules 4.17(iii) and 51 <i>bis</i> .1(a)(iii)):  Declaration(s) of inventorship (only for the purposes of the designation of the United States of America) (Rules 4.17 (iv) and 51 <i>bis</i> .1(a)(iv)):  Declaration(s) as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51 <i>bis</i> .1(a) (v)):  TY CLAIM(S)  Number of earlier application:  Filing date of earlier application or Member of the World Trade Organization was filed (where the earlier application was filed):  Priority document received at the International Bureau on:  Priority document received at the International Bureau on:  Priority document received at the International Bureau on:  Priority claim withdrawn by a notice from the applicant (date on which withdrawal became effective; if the notice of withdrawal was received by the International B

	Bureau that priority claim is considered, for the purposes of the procedure under the Treaty, not to have been made (date of declaration) (Rule 26 <i>bis</i> .2(b)):	
III - INTE	ERNATIONAL SEARCH REPORT	
III-1	International Searching Authority carrying out the international search:	ISA/US
111-2	International search report or declaration under Article 17 (2)(a) received by the International Bureau:	Yes
III-3	Corrected version(s) of the international search report (if any) received by the International Bureau:	Not applicable
IV - REF	ERENCE TO DEPOSITED BIOLOGICAL MATERIAL	Not applicable
V - INTE	RNATIONAL PUBLICATION	
V-1	International publication number:	WO 2005/009389 (A3)
V-2	International publication date:	03 February 2005 (03.02.2005)
V-3	Language of publication:	English
V-4	Number of figure of drawing published together with the abstract:	Not applicable
V-5	Republication(s) (republication date(s) and reason(s)):	15 September 2005 (15.09.2005) (A3) Later publication of international search report
VI - INT	ERNATIONAL PRELIMINARY REPORT ON PATENTABILITY	(CHAPTER I)
VI-1	International preliminary report on patentability (Chapter I) issued by the International Bureau on:	Not applicable
VI-2	Corrected version(s) of the international preliminary report on patentabilty (Chapter I) (if any) issued by the International Bureau on:	Information not available for inclusion in this IASF
VII - IN	ERNATIONAL PRELIMINARY EXAMINATION	
VII-1	A demand electing the State(s) for which the Office acts as an elected Office has been received by the International Preliminary Examining Authority (where the elected Office is a regional Office, indication of the State(s) elected in respect of which the Office acts as an elected Office):	No

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